

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : RICHARD A. WEISS, et al

Serial No. : TO BE DETERMINED (TBD) Art Unit: TBD

Filed : TBD Examiner: TBD

For : "BICYCLIC LACTONES, PERFUMERY USES THEREOF, PROCESSES  
FOR PREPARING SAME AND INTERMEDIATES THEREFOR"

I hereby certify that this correspondence is being deposited  
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Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
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(Date of Deposit)

JOSEPH F. LEIGHTNER

(Name of applicant, assignee, or Registered Representative)

  
(Signature)

November 10, 2003

(Date of Signature)

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R.  
§§1.97-1.98, information relating to the above-identified  
application is hereby disclosed. Inclusion of information in this  
statement is not to be construed as an admission that this  
information is material as that term is defined in 37 C.F.R.  
§1.56(b).

☒ In accordance with §1.97(b), since this Information  
Disclosure Statement is being filed either within three months of  
the filing date of the above-identified application, within three

months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Certification in Accordance with §1.97(e) is set forth below; or
- ☐ Please charge Deposit Account No. 12-1295 the fee of \$240.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:

- ☐ Certification in Accordance with §1.97(e) is set forth below; or
- ☐ Please charge Deposit Account No. 12-1295 the fee of \$240.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Certification in Accordance with §1.97(e) as set forth below and the fee of \$130.00 as set forth in §1.17(i)(1).

☒ Copies of each of the references listed on the attached Form PTO-1449 with the exception of U.S. Patents and/or Patent Applications are enclosed herewith. Applicants respectfully submit that these references are not required inasmuch as this application is filed after June 30, 2003.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:

☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .


☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☐ The relevance of those listed references which are not in the English language is as follows:

☒ There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to  
Deposit Account No. 12-1295. This form is submitted in triplicate.

Respectfully submitted,

  
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DATED: November 10, 2003

Form PTO-1449 <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>	Docket No.	Serial No.
	IFF-8406-3	TO BE DETERMINED (TBD)
	Applicant RICHARD A. WEISS, et al	
Filing Date	Group Art Unit	
TBD	TBD	

## U.S. PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	3,505,432	4/7/70	Neuwald			
	3,632,396	1/4/72	Perez-Zamora			
	3,948,818	4/6/76	Tomiyama, et al			
	4,360,682	11/23/82	Klenk, et al			
	5,300,489	4/5/94	Boden, et al			

## FOREIGN PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No	
	WO 95/12379	5/11/95	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	WO 98/58899	12/30/98	PCT			<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>

## OTHER REFERENCES (include author, title, date, pertinent pages, etc.)

		"The FEMA GRAS Assessment of Lactones Used as Flavour Ingredients", Adams, et al, Food and Chemical Toxicology 36 (1998), 249-278
		"The Evaluation in Vitro of Fragrance Materials for Phototoxic Activity, Weinberg, et al, J.Soc.Cosmet.Chem., 32, 303-315 (September/October 1981)

Examiner:	Date Considered
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	